



PRACTICE
PROFILE
2026



NEST CAPITAL

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-

FINANCIAL PROFESSIONAL USE ONLY



About NEST Capital

At NEST Capital, we're redefining what independence looks like in wealth management. As a privately owned, tightly connected team of over 80+ professionals, we operate across sixteen (16) offices in eight (8) states—Colorado, Florida, Georgia, Illinois, Indiana, Louisiana, North Carolina, and Ohio—managing \$4.9 billion* in assets under care.

We are not your typical wealth management practice —we're a launchpad. Our mission is to empower financial advisors to build the business they've always envisioned, with the freedom to lead, the support to grow, and the flexibility to thrive. Whether you're starting fresh or scaling up, NEST Capital offers a smarter, more personalized path to independence.



Our Goal

To be the premier destination for independent, growth-minded financial advisors who believe in building together, thriving together, and shaping the future of wealth management.

THE SUPPORT YOU NEED, THE INDEPENDENCE YOU DESERVE

MONETIZE YOUR BUSINESS

We help advisors maximize their practice's value through strategic succession planning for lasting success.

PROVIDE MORE OPTIONALITY

Accelerate independence and scale by leveraging NEST Capital's network of resources, curated to help practices grow and increase client successes.

PARTNERSHIP SOLUTIONS

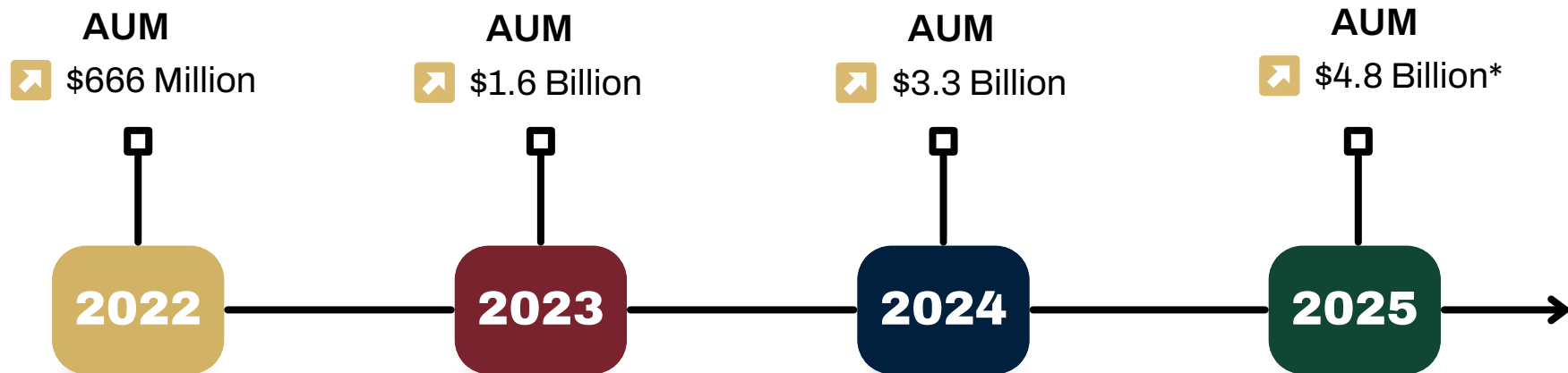
Deliver personalized wealth guidance using our platform, experienced team, capital strategies, and Affiliate Community.

Our Team's Milestones

Proof of progress. Vision of potential.



NEST Capital was established in 2022 with Katterhenry Investment Group as its first affiliated practice.

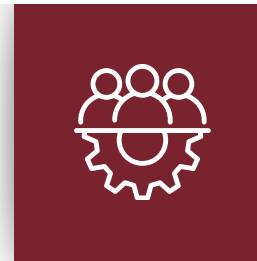


*Data as of December 2025
AUM - Assets Under Management

Our Team at a Glance



700+
Years of combined Industry
Experience Across Advisory
Team



80+
Employees — Serving our clients
with exceptional service and
operational excellence



\$4.9 Billion
In Assets Under
Management



16
Office Locations Across Eight
States — Nationwide Presence

Data as of January 2026

OUR CORE VALUE COMMITMENTS

Growth Mindset

Lead with integrity.
Move forward relentlessly.
Dream. Believe. Achieve.

Enjoyment

Empathize with heart.
Celebrate moments.
Elevate humanity.

Teamwork

Choose team over self.
Commit to diversity.
Communicate to connect.

Our Office Locations

Connecting Advisors Nationwide



COLORADO

Fort Collins



FLORIDA

Venice



GEORGIA

Canton



ILLINOIS

Itasca
St. Charles



INDIANA

Fort Wayne



LOUISIANA

New Orleans



NORTH CAROLINA

Greensboro
Wilmington (2 offices)



OHIO

Bellefontaine
Brunswick
Dublin
Minster*
New Albany
New Philadelphia
Troy

*By Appointment Only

Why Advisors Choose NEST



SUPPORTED INDEPENDENCE

YOUR BRAND

Create and own your unique brand by telling an authentic story, true to yourself and your business.

- Build your brand with customizable marketing, messaging and client engagement
- Independence to offer a client experience aligned with your clients' needs

YOUR BUSINESS

Enjoy greater flexibility on an independent platform. This is Your business, Your process, Your expertise—empowering you to make the best decisions for your clients.

- Access to innovative tools, technology and strategies that fit your needs
- No corporate mandates
- Competitive payout
- Scale on your terms
- Option for Partnership

OUR SUPPORT

Access to best-in-class practice management, leadership, coaching and support to help you bring your practice to the next level.

- Remove roadblocks
- Transition support
- Access to capital
- Access to a broad universe of investment solutions
- Compliance support

Elevate Your Business

Find the right partner



CONSIDERING INDEPENDENCE?

Advisors transitioning to NEST Capital gain true ownership, powerful technology, client-focused solutions, and lasting support to help them grow.

ALREADY INDEPENDENT?

Many independent practices lack the resources to grow, serve clients, or scale—our solutions fill that gap and more.

BUILD YOUR PRACTICE WHILE BUILDING YOUR INDEPENDENCE



BUSINESS GROWTH & EFFICIENCY

Tap into a team of accessible specialists ready to support and guide every facet of your business.



LEVERAGE STRATEGIC RELATIONSHIPS

We support your independent journey at every stage by connecting you with strategic resources, experienced guidance, and trusted resources—all grounded in integrity and care.



CURATED THOUGHT LEADERSHIP

Gain direct access to industry specialist—empowering you with insights, strategies, and support to stay ahead in a rapidly evolving wealth management landscape.



BUSINESS & CONTINUITY PLANNING

Create a plan that honors your service, supports your transition, and puts clients first—on your terms.

Solutions For Every Career Stage



Whether you're just starting out or looking to take your practice to the next level, we have the resources to set you up for success. And if you're winding down your career, we have a succession path to help you finish strong.

SET THE FOUNDATION FOR YOUR SUCCESS



New Advisor



Support & Training
A Diverse & Loyal Client Base
Access to Platform & Tools



Start Your Journey



Experienced Advisor



Transition Support
Client Experience & Growth
Professional Development & Training



Transform Your Business



Succession Planning



Connect You To The Right Fit
Competitive Valuation
Explore Your Options, Let's Talk!



Leave Your Legacy

Affiliation Options

Build your business, your way



Evaluate each option to determine which model best aligns with your goals and priorities.

FULL AFFILIATION

For those who like and appreciate the balance of responsibilities with your current office but want more flexibility while operating and advising clients on an independent platform. Full affiliation is the model with the most practice-provided support and with all the benefits of being independent.

LITE AFFILIATION

For the most entrepreneurial-minded advisors. Affiliation Lite is a Supported-DIY solution operating more hands-on and more autonomously on the business.

	LITE AFFILIATION		FULL AFFILIATION	
	NEST Covers	Advisor Covers	NEST Covers	Advisor Covers
Managing Book of Business		✓		✓
Advising Clients		✓		✓
Junior FA Compensation (if applicable)		✓		✓
Compliance and Supervision Support <i>(including audit assistance)*</i>	✓		✓	
Asset-Based Platform Fees	✓		✓	
Business & HR Operations Guidance	✓		✓	
Premier Client Service Framework	✓		✓	
Licensing Support	✓		✓	
Backup Coverage to Your Team	✓		✓	
Support Staff and Advisor Team Calls <i>(optional)</i>	✓		✓	
Portfolio Management Options <i>(custom pricing)</i>	✓		✓	
Client Engagement Guidance	✓		✓	
Platform Fees	✓		✓	
Production Grid Expenses <i>(minimized through NEST)</i>		✓	✓	
Licensing Expenses		✓	✓	
Human Resources Management of Support Staff		✓	✓	
Support Staff Compensation and Payroll		✓	✓	
Office Management		✓	✓	
Office Space Expenses		✓	✓	
Vendor Sourcing, Management, and Expenses		✓	✓	
Branding and Marketing		✓	✓	

*Select support services provided through affiliation with Wells Fargo Advisors Financial Network

Support For Your Next Chapter

Trusted partners guiding you every step of the way



ERIC HAUBERT

Owner, Senior Financial Advisor,
Registered Principal



LISA HOWE

Owner, Senior Financial Advisor



TIM IRWIN

Director of Growth



RUTH HAMILTON

Director of Development



KASEY MASSIE-YEAGLE

Chief Operations Officer



KATELYN HOUCHIN

Operations Transitions Manager



MOE ZAYED

Compliance Coordinator



TRISH SUCHLAND

Director of Affiliate Solutions & strategy



LESLIE ARNOLD

Director of Client
Service & Experience



MARISA BRADLEY

Client Service Advocate



POLINA NOCERA

Senior Registered Client
Service Advocate,
Planning Lead



IAN LYONS

Client Marketing &
Engagement Coordinator

C O N T A C T U S

Take the next step in your independence with NEST Capital, today!



800-366-5384



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